

PTO/SB/64a (05-03)

Approved for use xx/xx/200x, OMB 0651-0031

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STATEMENT: The entire delay in filing the required notice of a foreign or international filing from the due date for the required notice until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

[NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D))].

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May 2, 2003

Date

Peter J. Yim (Res. No 44, 417)

Signature

Telephone

Number: 415-268-6373Peter J. Yim

Typed or printed name

425 Market Street

Address

San Francisco, CA 94105-2482Enclosures: ☐ Fee Payment☐ Additional sheets containing statements establishing unintentional delay☒ Other: Fax cover sheet 1 pg.; transmittal 1 pg.;
fee transmittal (in duplicate)

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 308-6916.May 2, 2003

Date

Janet Hollrah

Signature

Janet Hollrah

Type or printed name of person signing certificate

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0851-0032

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FEE TRANSMITTAL for FY 2003		Complete if Known	
Patent fees are subject to annual revision.		Application Number	10/051,830
		Filing Date	January 16, 2002
		First Named Inventor	Xinhui NIU
		Examiner Name	To Be Assigned
		Group Art Unit	2121
		Attorney Docket No.	509982001200
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT (\$)		\$1,300.00	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account		3. ADDITIONAL FEES	
Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		MAY 02 2003 PETITIONS OFFICE	
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
SUBTOTAL (1) (\$)			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			
Total Claims: Extra Claims: Fee from below: Fee Paid:			
Independent Claims: Fee Paid:			
Multiple Dependent: Fee Paid:			
Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	18	2202	9
1201	84	2201	42
1203	280	2203	140
1204	84	2204	42
1205	18	2205	9
SUBTOTAL (2) (\$)			
FEE CALCULATION (continued)			
Large Entity	Small Entity	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	410	2252	205
1253	930	2253	465
1254	1,450	2254	725
1255	1,970	2255	985
1401	320	2401	160
1402	320	2402	160
1403	280	2403	140
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,300	2453	650
1501	1,300	2501	650
1502	470	2502	235
1503	630	2503	315
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	750	2809	375
1810	750	2810	375
1801	750	2801	375
1802	900	1802	900
SUBTOTAL (3) (\$)		\$1,300.00	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Peter J. Yim	Registration No. (Attorney/Agent)	44,417
Signature		Telephone	(415) 268-6373
		Date	May 2, 2003

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0551-0031

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**TRANSMITTAL
FORM**


(to be used for all correspondence after initial filing)

Application Number	10/051,830
Filing Date	January 18, 2002
First Named Inventor	Xinhui NIU
Group Art Unit	2121
Examiner Name	To Be Assigned
Attorney Docket No.	509982001200
Total Number Of Pages In This Submission	5

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form w/duplicate copy for fee processing (2 Pages)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition (2 Pages)	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile Return Receipt Cover
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement (3 Pages)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

FAX RECEIVED**MAY 02 2003****PETITIONS OFFICE****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual Name	Peter J. Yim (Reg. No. 44,417) Morrison & Foerster LLP 425 Market Street San Francisco, California 94105-2482
Signature	
Date	May 2, 2003

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on May 2, 2003.


 Janet Hollrah

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MORRISON & FOERSTER LLP

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FAX RECEIVED**MAY 02 2003****PETITIONS OFFICE**

**To: U. S. Patent and Trademark Office
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Comments:

DOCKET NO.: 509982001200

GROUP ART UNIT: 2121

EXAMINER: To Be Assigned

SERIAL NO.: 10/051,830

FILING DATE: January 16, 2002

INVENTOR(S): Xinhui NIU and Nickhil JAKATDAR

**TITLE: GENERATING A LIBRARY OF SIMULATED-DIFFRACTION SIGNALS AND
HYPOTHETICAL PROFILES OF PERIODIC GRATINGS**

Papers attached:

- 1. Transmittal (1 Page)**
- 2. Fee Transmittal w/duplicate copy for fee processing (2 Pages)**
- 3. Petition For Revival of an Application For Patent Abandoned For Failure To Notify The Office of a Foreign or International Filing (2 Pages)**
- 4. Facsimile Cover Sheet**